

<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)		ATTY. DOCKET NO: 5681-15100		SERIAL NO: 10/618,828			
APPLICANT: Kumar et al.							
FILING DATE: July 14, 2003				GROUP: 2127			
<b>U.S. PATENT DOCUMENTS</b>							
EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
/C.Q/	A1	6,421,688	7/16/02	Song			
/C.Q/	A2	5,504,899	4/2/96	Raz			
/C.Q/	A3	5,504,900	4/2/96	Raz			
/C.Q/	A4	5,701,480	12/23/97	Raz			
/C.Q/	A5	6,442,618	8/27/02	Phillips et al.			
/C.Q/	A6	6,038,587	3/14/00	Phillips et al.			
/C.Q/	A7	<del>2005/0088413</del> 2004/0088413	5/6/04	Bhogi et al.			
/C.Q/	A8	2004/0215473	10/28/04	Bhogi et al.			
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
/C.Q/	A9	Liu et al., "Designing a Test Suite for Empirically-based Middleware Performance Prediction," Australian Computer Society, Inc., (2002), (8 Pages)					
/C.Q/	A10	"Java™ 2SDK, Enterprise Edition 1.3.1 Configuration Guide," <a href="http://java.sun.com/j2ee/sdk_1.3/techdocs/release/ConfigGuide.html">http://java.sun.com/j2ee/sdk_1.3/techdocs/release/ConfigGuide.html</a> , January 3, 2002, (16 Pages)					
/C.Q/	A11	Ellis et al., "JDBC™ 3.0 Specification – Final Release," Sun Microsystems, Inc., October 2001, (190 pages)					
/C.Q/	A12	"iPlanet Application Server 6.0 Administration Guide: Chapter 4 Logging Server Messages," <a href="http://docs.sun.com/source/816-5720-10/adlog.htm">http://docs.sun.com/source/816-5720-10/adlog.htm</a> , September 5, 2000, (21 Pages)					
/C.Q/	A13	"JDBC™ Data Access API – The JDBC API Universal Data Access for the Enterprise," java.sun.com, August 10, 2003, (5 Pages)					
/C.Q/	A14	"Connection Pooling," Advanced Programming for the Java 2 Platform, August 16, 2002, (4 Pages)					
/C.Q/	A15	Siva Visveswaram, "Manage Access to Shared, Server-Side Resources for High Performance - Dive Into Connection Pooling with J2EE," JavaWorld, October 2000, (8 Pages)					
EXAMINER: /Camquy Truong/			DATE CONSIDERED: 06/14/2007				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.							

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List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DOCKET NO: 5681-15100

SERIAL NO: 10/618,828

APPLICANT: Kumar et al.

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GROUP: 2127

**U.S. PATENT DOCUMENTS**

EXAM. INITIAL S	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
/C.Q/	A16	2005/0055325	3/10/05	Dutt et al.			
/C.Q/	A17	2005/0066155	3/24/05	Dutt et al.			
/C.Q/	A18	2005/0015353	1/20/05	Kumar et al.			
/C.Q/	A19	2004/0153450	8/5/04	Venugopal Rao K. et al			
/C.Q/	A20	2004/0153383	8/5/04	Venugopal Rao K. et al			
/C.Q/	A21	2004/0153349	8/5/04	Venugopal Rao K. et al			
/C.Q/	A22	2004/0215894	<del>8/5/04</del> vs. 28-2004	Bhogi et al.			
/C.Q/	A23	2004/0216109	10/28/04	Bhogi et al.			

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

/C.Q/	A24	"Interface DataSource," DataSource (Java 2 Platform, SE v1.4.1), 2002, (1 Page)					
/C.Q/	A25	"Initial Context," JNDI 1.2.1 Specification: Class Initial Context, <a href="http://java.sun.com/products/jndi/1.2/javadoc/javax/naming/InitialContext.html">http://java.sun.com/products/jndi/1.2/javadoc/javax/naming/InitialContext.html</a> , July 14, 1999, (1 Page)					
/C.Q/	A26	"JNDI - Java™ Naming & Directory Interface™", Sun Microsystems, Inc., <a href="http://java.sun.com/jndi">http://java.sun.com/jndi</a> , May 15, 2003, (2 Pages)					
/C.Q/	A27	"iPlanet Application Server 6.0 Administration Guide: Chapter 9 Administering Transactions," <a href="http://docs.sun.com/source/816-5784-10/adtrans.htm">http://docs.sun.com/source/816-5784-10/adtrans.htm</a> , September 5, 2000, (11 Pages)					
/C.Q/	A28	Breitbart et al., "Replication and Consistency Being Lazy Helps Sometimes," PODS '97, June 1997, (Pages 173-184)					
/C.Q/	A29	Patricia Serrano-Alvarado et al. "Mobile Transaction Supports for DBMS". In 17ièmes Journées Bases de Données Avancées, Agadir, Maroc, October 2001, (19 Pages)					
/C.Q/	A30	Efficient and Flexible Methods for Transient Versioning of Records to Avoid Locking by Read-Only Transactions," 1992 ACM SIGMOD, June 1992, (Pages 124-133)					

EXAMINER: /Camquy Truong/

DATE CONSIDERED: 06/14/2007

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10618828
Filing Date	2003-07-14
First Named Inventor	Ajay Kumar
Art Unit	2127
Examiner Name	Unknown
Attorney Docket Number	5681-15100

IC.Q/	2	20030046342		03/2003	Felt, et al.	
IC.Q/	3	20030055968		03/2003	Hochmuth, et al.	
IC.Q/	4	2003/0182457 <del>20030033398</del>		09/2003	Jeyaraman, et al.	
IC.Q/	5	2005/0055325 <del>20030055324</del>		03/2005	Dutt, et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>

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**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
IC.Q/	1	MANOLIS MARAZAKIS, "Distributed Systems," University of Crepe, September 2002, pp. 1-31.	<input type="checkbox"/>

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**EXAMINER SIGNATURE**

Examiner Signature	/Camquy Truong/	Date Considered	10/13/2007
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